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# Applications of NHC-mediated O- to C-carboxyl transfer: synthesis of ( $\pm$ )-N-benzyl-coerulescine and ( $\pm$ )-horsfiline

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# **ABSTRACT**

NHC-promoted O- to C-carboxyl transfer of 3-allyl indolyl phenyl carbonates generates 3-allyl-3-phenoxycarbonyl-oxindoles with good catalytic efficiency, which are readily converted into  $(\pm)$ -N-benzylcoerulescine and  $(\pm)$ -horsfiline.

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# 1. Introduction

A diverse array of naturally occurring polycyclic alkaloids bear a quaternary stereocentre at the 3-position of an oxindole. The spiro[pyrrolidine-3,3'-oxindole] motif is among the many structural classes encompassed within this classification, with a range of natural products of varying complexity such as alstonisine  $1^1$  $1^1$  and spirotryprostatin  $2<sup>2</sup>$  $2<sup>2</sup>$  that contain this ring junction possessing significant biological activity (Fig.  $1$ ).<sup>3</sup> Given the biological profile and structural interest in these targets, the synthesis of the spiro[pyrrolidine-3,3'oxindole] system has been used as a test-bed for the development of a range of synthetic methodologies in order to tackle the total syntheses of these molecules. $4$  The simplest examples of alkaloids containing the spiro[pyrrolidine-3,3'-oxindole] motif include coerulescine 3 and the structurally related horsfiline 4. Horsfiline was first isolated in 1991 by Bodo et al. from the Malaysian medical plant Horsfildea superba warb,<sup>5</sup> while coerulescine was isolated in 1998 by Colegate et al. $6$  Several different strategies have been developed for the synthesis of these natural products in either racemic or enantiomerically enriched form. Approaches based upon MgI<sub>2</sub> promoted ring expansions, $78$  oxidative rearrangement of tetrahydro- $\beta$ -carbolines (using N-bromosuccinimide, $^{9}$  dimethyldioxirane, $^{10}$  $^{10}$  $^{10}$  lead tetra-acetate,<sup>[5](#page-11-0)</sup> sodium tungstate,<sup>11</sup> or *tert*-butylhypochlorite<sup>12</sup>), dipolar  $cyc$ loaddition reactions, $13$  radical cyclisations, $14,15$  asymmetric

nitroolefination reactions, $16$  intramolecular Mannich reactions, $17$ iodine-induced rearrangement of 3-[(aziridin-1-yl)(methylthio) methylene]-2-oxindoles<sup>18</sup> and palladium catalysed asymmetric allylic alkylation $19$  have all been utilised previously.



Figure 1. Representative natural products that contain the spiro[pyrrolidine-3,3'-ox-





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As part of a programme of research aimed at developing alternative Lewis-base mediated catalysts, $^{20}$  $^{20}$  $^{20}$  we have shown that N-heterocyclic carbenes (NHCs) $^{21,22}$  $^{21,22}$  $^{21,22}$  can promote the O- to C-carboxyl transfer reaction (the Steglich rearrangement) of a range of oxazolyl, indolyl and benzofuranyl carbonate derivatives with good catalytic efficiency[.23,24](#page-11-0) A number of elegant asymmetric versions of this process have been developed for the asymmetric synthesis of 3-carboxyl oxindoles from indolyl carbonates[.25](#page-11-0) As a demonstration of the utility of this methodology, its application to the syntheses of coerulescine and horsfiline was investigated. Our planned retrosynthetic route to these products targeted a suitably protected diol 6 as a viable precursor to the spiro[pyrrolidine-3,3'-oxindole] motif. Diol 6 could be generated by selective reduction and functional group manipulation of a 3-allyl-3-phenoxycarbonyl oxindole 7, prepared by NHC-promoted O-to C-carboxyl transfer of an indolyl carbonate 8 (Fig. 2).



Figure 2. Proposed synthetic route to spiro[pyrrolidine-3,3'-oxindole] products.

This strategy is related to that employed by Trost et al. in their asymmetric synthesis of horsfiline, who prepared 3-allyl-3-ethoxycarbonyl oxindole 9 as a key intermediate in 98% ee after crystallisation using an asymmetric allylation strategy.<sup>19</sup> In this case, however, attempts to access diol 10 from 9 proved impossible; following oxidative cleavage of the allyl functionality, selective reduction of the ethyl ester could not be accomplished. The completed synthesis involved oxidative cleavage of the allyl functionality within 9 and subsequent reductive amination to give 11 after N-deprotection, followed by selective reduction to give the desired natural product 4 in 11% overall yield (Fig. 3).

Building upon these precedents, we detail herein the successful selective realisation of our synthetic strategy to prepare the spi $ro[pyrrolidine-3,3'-oxindole]$  motif via a diol such as  $6$ , and its application to the synthesis of  $(\pm)$ -N-benzyl-coerulescine and  $(\pm)$ -horsfiline.

### 2. Results and discussion

## 2.1. Model studies: synthesis of (±)-N-benzyl-coerulescine

Initial studies focused upon the preparation of 3-allyloxindole 15 from N-benzylisatin 12. Addition of allylmagnesium bromide to N-benzyl isatin gave 3-allyl-3-hydroxyisatin **13.<sup>[26](#page-11-0)</sup> Attempted re**duction of 13 to generate 15 in one step through treatment with SnCl2 proved sluggish, giving 60% conversion to a ~50:50 mixture of 3-chlorooxindole 14 and the desired product 15 even after



Figure 3. Trost's successful asymmetric route to horsfiline employing 3-allyl-3-ethoxycarbonyl oxindole 9.

extended reaction times. To overcome this problem, initial conversion of alcohol 13 to chloride 14, and subsequent reduction with zinc powder $^{27}$  $^{27}$  $^{27}$  gave 15 in greatly improved yields and purity. Treatment of 3-allyloxindole 15 with KHMDS and O-carboxylation with phenyl chloroformate furnished phenyl carbonate 16 in good yield. The ability of the NHC derived from triazolium salt 17 to promote the desired O-to C-carboxyl transfer was next evaluated, with deprotonation of triazolium salt 17 with KHMDS used to prepare the corresponding NHC in situ. Good conversion of 16 to the desired 3-allyl-3-phenoxycarbonyl oxindole 18 was observed with variation in the catalyst loading of the NHC derived from 17 (from 9 mol % to 1.5 mol %), although trace quantities of 3-allyloxindole 15 (typically <5%) and diphenylcarbonate were observed, with the desired product 18 isolated in 49–73% yield (Scheme 1). In practice, and on  $a > 2$  g scale, an NHC catalyst loading of 9 mol % was



**Scheme 1.** Reagents and conditions: (i). allylMgBr, THF,  $-78$  °C (10 min) then warm to  $0 °C$  (20 min); (ii). SOCl<sub>2</sub>, N(i-Pr)<sub>2</sub>Et, CH<sub>2</sub>Cl<sub>2</sub>, rt, 25 min then Zn (powder), AcOH, THF, 0 °C to rt; (iii). KHMDS, THF,  $-78$  °C then PhOCOCl; (iv). KHMDS (1.5 to 9 mol %), salt 17 (2 to 10 mol %), THF, 15 min then indolyl carbonate 16, rt, 1 h.

<span id="page-2-0"></span>used to promote rearrangement of  $16$ , giving  $18$  in 73% yield.<sup>28</sup> Unambiguous verification of the molecular structure of 18 was confirmed by X-ray crystallographic analysis (Fig. 4).



Figure 4. Molecular representation of the X-ray crystal structure of 18. The X-ray crystal structure shows disorder of the allyl group, but only one representation is shown.

Functional group manipulation of 18 to generate the corresponding diol as a key intermediate towards the preparation of the spiro[pyrrolidine-3,3'-oxindole] skeleton was next investigated. Oxidative functionalisation of  $18$  using OsO<sub>4</sub> under standard Upjohn conditions gave spirocyclic lactone 19 (66:34 dr), with purification giving the separable diastereoisomers, whose relative configurations grang the separative diaster estimately, whose relative comigarations<br>were not identified, in 62% overall yield.<sup>[29](#page-11-0)</sup> As an alternative strategy, selective reduction of the ester functionality within 18 was attempted. Treatment of 18 with LiAlH<sub>4</sub> at rt resulted in over-reduction, generating N-benzyl-3-allylindole 21 in 44% isolated yield, while selective reduction was achieved through treatment with sodium borohydride and calcium chloride in methanol,<sup>30</sup> giving alcohol 20 in 80% yield (Scheme 2). At the moment we cannot distinguish between the over-reduction process to give 21 proceeding either via direct reductive retro-Claisen reaction of the ester, or retro-aldol reaction of in situ formed alcohol 20. The molecular structure of 20 was confirmed by X-ray crystallographic analysis (Fig. 5).



Figure 5. Molecular representation of the X-ray crystal structure of 20. There are two molecules of 20 in the asymmetric unit cell, but only one representation is shown.

Further functionalisation of alcohol 20 was achieved by dihydroxylation with  $OsO<sub>4</sub>$  to give triol 22 in 92% yield, with oxidative cleavage with sodium periodate giving the spirocyclic lactol 23



**Scheme 2.** Reagents and conditions: (i). OsO<sub>4</sub> (1% wt solution in  $H_2O$ ), NMO, CH<sub>2</sub>Cl<sub>2</sub>, rt; (ii). LiAlH<sub>4</sub>, THF, 0 °C to rt; (iii). NaBH<sub>4</sub>, CaCl<sub>2</sub>, MeOH, rt.



Figure 6. Molecular representation of the X-ray crystal structure of 24.

(78:22 dr). Reduction with NaBH<sub>4</sub> gave the desired diol  $24$  in 67% yield, whose molecular structure was confirmed unambiguously by X-ray crystallographic analysis ([Fig. 6](#page-2-0)). Subsequent bis-mesylation generated  $25$  in high yield, with addition of methylamine,  $31$  followed by purification on silica to promote cyclisation, giving Nbenzyl-coerulescine 26 with comparable spectroscopic properties to the literature (Scheme 3).<sup>[15](#page-11-0)</sup> As N-debenzylation of **26** has previously been demonstrated, this represents a formal synthesis of coeru-lescine 3.<sup>[15](#page-11-0)</sup>



**Scheme 3.** Reagents and Conditions: (i).  $OsO<sub>4</sub>$  (1% solution in H<sub>2</sub>O), rt; (ii). NaIO<sub>4</sub>, acetone/H<sub>2</sub>O (4.5:1), THF, rt; (iii). NaBH<sub>4</sub>, MeOH, rt; (iv). MsCl, NEt<sub>3</sub>, CH<sub>2</sub>Cl<sub>2</sub>, rt; (v). MeNH<sub>2</sub> (2.0 M solution in THF), EtOH, 105  $\degree$ C, sealed tube then chromatography on silica.

# 2.2. Synthesis of (±)-horsfiline 4

Having optimised reaction conditions and demonstrated the utility of this approach for the synthesis of  $(\pm)$ -N-benzyl-coerulescine 26, this methodology was applied to the synthesis of  $(\pm)$ -horsfiline **4**. Addition of allylmagnesium bromide to N-benzyl-5-methoxyisatin 27 gave 28, which was transformed to 3-allyl-5 methoxyoxindole 30 via chloride 29 in 70% overall yield over two steps. Indolyl carbonate formation, followed by O- to C-carboxyl transfer using 4 mol % of the NHC derived from triazolium salt 17 gave 32 in excellent yield (Scheme 4).

Subsequent ester reduction of 32 using  $N$ aBH<sub>4</sub>/CaCl<sub>2</sub> in methanol gave a 55:45 mixture of the desired alcohol 33: methyl ester 34 (presumably arising from competitive transesterification), with chromatographic separation giving 33 in 39% yield and 34 in 35% yield (Scheme 5). The molecular structures of 33 and 34 were both



**Scheme 4.** Reagents and conditions: (i). allylMgBr, THF,  $-78$  °C (10 min) then warm to  $0 °C$  (20 min); (ii). SOCl<sub>2</sub>, N(i-Pr)<sub>2</sub>Et, CH<sub>2</sub>Cl<sub>2</sub>, rt, 25 min; then Zn (powder), AcOH, THF,  $0 °C$  to rt; (iii). KHMDS, THF,  $-78 °C$  then PhOCOCl; (iv). KHMDS (4 mol %), salt 17 (5 mol %), THF, 15 min then indolyl carbonate 31, rt, 1 h.

confirmed by X-ray diffraction ([Figs. 7 and 8](#page-4-0)). Attempted conversion of methyl ester 34 to alcohol 33 under a range of conditions gave preferentially 3-allyl-5-methoxyoxindole 30 rather than the desired alcohol 33, although further optimisation showed that reduction of phenyl ester 32 with LiAlH<sub>4</sub> in THF at  $-78$  °C gave predominantly alcohol 33 in an improved 61% yield (Scheme 5).



Having optimised the preparation of key alcohol 33, subsequent dihydroxylation, oxidative cleavage and reduction with NaBH4 gave diol 35 in 83% yield over three steps. Bis-mesylation and treatment with methylamine, followed by purification on silica, gave N-ben-zylhorsfiline 37 in 65% yield over two steps.<sup>[7,15](#page-11-0)</sup> N-debenzylation following the procedure of Carreira et al.<sup>[7](#page-11-0)</sup> gave ( $\pm$ )-horsfiline **4** with comparable spectroscopic properties to the literature in 57% yield ([Scheme 6](#page-4-0)).

<span id="page-4-0"></span>

Figure 7. Molecular representation of the X-ray crystal structure of 33. There are two molecules of 33 in the asymmetric unit cell, but only one representation is shown.



Figure 8. Molecular representation of the X-ray crystal structure of 34.

## 3. Conclusion

In conclusion, we have shown that NHC-mediated O-to C-carboxyl transfer of indolyl carbonates can be used to generate ( $\pm$ )-3-allyl-3phenoxycarbonyloxindoles containing a quaternary stereocentre in good yield and with excellent catalytic efficiency. These products are readily functionalised to generate the spiro[pyrrolidine-3,3'oxindole] motif, and have been used in the synthesis of  $(\pm)$ -N-benzyl-coerulescine **26** and ( $\pm$ )-horsfiline **4**. Current research is focused upon developing applications of NHCs and other Lewis-bases in asymmetric catalysis, and utilising this methodology in complex natural product synthesis.



**Scheme 6.** Reagents and conditions: (i).  $OSO_4$  (1% wt solution in H<sub>2</sub>O), rt; (ii). NaIO<sub>4</sub>, acetone/H<sub>2</sub>O (4.5:1), THF, rt; (iii). NaBH<sub>4</sub>, MeOH, rt; (iv). MsCl, NEt<sub>3</sub>, CH<sub>2</sub>Cl<sub>2</sub>, rt; (v). MeNH<sub>2</sub> (2.0 M solution in THF), EtOH, 105 °C, sealed tube then chromatography on silica; (vi) Na,  $NH_3(1)$ ,  $-33$  °C, 15 min.

#### 4. General experimental

## 4.1. General

All reactions involving moisture sensitive reagents were performed under an atmosphere of argon using standard vacuum line techniques and with freshly distilled solvents. All glassware was flame dried and allowed to cool under vacuum.

Solvents were dried and purified either by distillation (under an atmosphere of nitrogen as described below) or obtained from a solvent purification system (MBraun, SPS-800). Methanol (MeOH) was distilled from CaH<sub>2</sub>. Petrol refers to the fraction of petroleum ether boiling between 40 $^{\circ}$ C and 60 $^{\circ}$ C. Osmium tetroxide was prepared as a 1% wt solution in H2O. All other reagents were used directly as supplied without further purification.

Flash column chromatography was carried out according to the method of Still<sup>[32](#page-12-0)</sup> with silica gel 60 (0.043-0.060 mm) (Merck) in the solvent system stated. Analytical thin layer chromatography was performed on commercially available pre-coated aluminiumbacked plates (Merck silica Kieselgel 60 F<sub>254</sub>). TLCs were visualised either by UV fluorescence (254 nm), or by staining with basic  $KMnO<sub>4</sub>$  solution.

Melting points were recorded on an Electrothermal apparatus and are uncorrected. Microanalyses were carried out on a Carlo Erba CHNS analyser. Infrared spectra were recorded on a Perkin-Elmer Spectrum GX FT-IR Spectrometer and analysed either as thin films between NaCl plates (thin film) or KBr discs (KBr disc) as stated. Absorption maxima ( $v_{\text{max}}$ ) are quoted in wavenumbers  $\rm (cm^{-1})$  and only structurally significant peaks are quoted.

 $<sup>1</sup>H$  and  $<sup>13</sup>C$  nuclear magnetic resonance (NMR) spectra were ac-</sup></sup> quired on either a Bruker Avance 300 (300 MHz  $^{1}$ H, 75.4 MHz  $^{13}$ C),

a Bruker Avance 400 (400 MHz <sup>1</sup>H, 100 MHz <sup>13</sup>C) or a Bruker Avance 500 (500 MHz  $\rm ^1H$ , 125 MHz  $\rm ^{13}C$ ) spectrometer in the deuterated solvent stated. <sup>13</sup>C NMR spectra were recorded with proton decoupling. Chemical shifts  $(\delta)$  are quoted in parts per million (ppm) and referenced to residual solvent peaks or to  $\text{SiMe}_4$  as an internal standard ( $\delta$ =0.00). Coupling constants, J, are quoted in Hz. The abbreviations s, d, dd, dt, td, q and m denote singlet, doublet, doublet of doublets, doublet of triplets, triplet of doublets, quartet and multiplet, respectively. The abbreviation Ar is used to denote aromatic.

Mass spectrometric  $(m/z)$  data was acquired by electrospray ionisation (ESI) or chemical ionisation (CI), either at the University of St Andrews Mass Spectrometry facility ( $[M+Na]$  quoted) or at the EPSRC National Mass Spectrometry Service Centre, Swansea  $([M+H]^+, [2M+H]^+, [M+Na]^+$  or  $[2M+Na]^+$  quoted). At the University of St Andrews, low and high resolution ESI MS was carried out on a Micromass LCT spectrometer. At the EPSRC National Mass Spectrometry Service Centre, CIMS was carried out on a Micromass Quattro II spectrometer. High resolution ESI was carried out on a Finnigan MAT 900 XLT; a Thermofisher LTQ Orbitrap XL spectrometer was used to obtain high resolution ESI MS for accurate mass determination but also provided fragmentation data for the characterisation of samples. Values are quoted as a ratio of mass to charge in Daltons.

Temperatures of 0 $\degree$ C were obtained using an ice/water bath and of  $-78$  °C were obtained using a dry ice/acetone bath.

Crystallographic data (excluding structure factors) for compounds 18, 20, 24, 33 and 34 have been deposited with the Cambridge Crystallographic Data Centre and allocated the deposition numbers CCDC 746509, 746510, 746511, 746512 and 746513, respectively. Copies of the data can be obtained, free of charge, on application to CCDC, 12 Union Road, Cambridge, CB2 1EZ, UK [fax:  $+44(0)$  1223 336033 or e-mail: deposit@ccdc.cam.ac.uk].

## 4.2. General procedure A: rearrangement of carbonates

KHMDS  $(9, 4, or 1.5 \text{ mol})$  was added to a stirred solution of triazolium salt 17 (10, 5 or 2 mol %, respectively) in THF (~10 mL/g of substrate) and stirred at rt for 30 min. The required carbonate was then added to the reaction mixture and stirred for 1 h at rt before concentration in vacuo.

## 4.3. General procedure B: dihydroxylation with OsO4

Following the method described by Trost et al.,<sup>[19](#page-11-0)</sup> osmium tetroxide (0.02 equiv) was added to a solution of oxindole (1 equiv) and N-methylmorpholine-N-oxide (2.55 equiv) in  $CH_2Cl_2$  (10 mL/ mmol) and stirred at rt until deemed complete by TLC (typically 8– 24 h). The reaction was quenched with satd aq  $Na<sub>2</sub>SO<sub>3</sub>$  solution. The solution was diluted with  $CH<sub>2</sub>Cl<sub>2</sub>$ , the layers separated and the aqueous phase further extracted with  $CH<sub>2</sub>Cl<sub>2</sub>$ . The organic extracts were combined, dried (MgSO4), filtered and concentrated in vacuo.

## 4.3.1. 3-Allyl-1-benzyl-3-hydroxy-2-oxo-2,3-dihydroindole 13.



To a stirred solution of N-benzylisatin (10.0 g, 50.6 mmol) in THF (210 mL) at  $-78$  °C was slowly added allylmagnesium

bromide  $(1.0 M$  solution in Et<sub>2</sub>O, 46.4 mL, 46.4 mmol) and the reaction mixture stirred at  $-78$  °C for 10 min and then at 0 °C for 20 min. After this time, the reaction was quenched with satd aq NH<sub>4</sub>Cl solution (210 mL) and extracted with EtOAc (150 mL $\times$ 3). The organic extracts were combined, washed with  $H_2O$  (150 mL), brine (150 mL), dried (MgSO<sub>4</sub>), filtered and concentrated in vacuo. Trituration with Et<sub>2</sub>O gave 13 (6.55 g, 56%) as a yellow solid with spectroscopic data in accordance with the literature.<sup>[33](#page-12-0)</sup> Mp 142-143 °C (Et<sub>2</sub>O) (lit. Mp 124-126 °C);<sup>[33](#page-12-0)</sup>  $\delta$ <sub>H</sub> (300 MHz, CDCl<sub>3</sub>) 7.43–7.38 (1H, m, ArH), 7.35–7.25 (5H, m, ArH), 7.25–7.17 (1H, m, ArH), 7.10–7.03 (1H, m, ArH), 6.72–6.68 (1H, m, ArH), 5.72–5.57 (1H, m,  $HC=CH_2$ ), 5.20–5.08 (2H, m,  $HC=CH_2$ ), 5.03 (1H, ABq,  $J_{AB}$ =15.6, CH<sub>A</sub>H<sub>B</sub>Ph), 4.73 (1H, ABq, J<sub>AB</sub>=15.6, CH<sub>A</sub>H<sub>B</sub>Ph), 2.85 (1H, s, OH), 2.84–2.77 (1H, m, CH<sub>2</sub>CH=CH<sub>2</sub>), 2.73–2.65 (1H, m,  $CH<sub>2</sub>CH=CH<sub>2</sub>$ ).

4.3.2. 3-Allyl-1-benzyl-2-oxo-2,3-dihydroindole 15.



Method 1: To a stirred suspension of 13 (589 mg, 2.11 mmol) in a solution of glacial AcOH (20 mL) and concd HCl (1.3 mL) was added stannous chloride dihydrate (1.43 g, 6.33 mmol) and the reaction mixture heated to 80 $\degree$ C overnight. After cooling to rt, the solution was poured into  $H<sub>2</sub>O$  and the aqueous phase extracted with  $Et<sub>2</sub>O$  (50 mL $\times$ 3). The organic extracts were combined, washed with 2 M NaOH (aq) solution (100 mL $\times$ 3), dried (MgSO<sub>4</sub>), filtered and concentrated in vacuo. Residual AcOH was removed azeotropically with toluene ( $\times$ 3). <sup>1</sup>H NMR analysis of the crude residue indicated it to be a 41:29:29 mixture of 13:14:15.

Method 2: Following the method of Trost et al.,  $27$  to a stirred solution of 13 (6.07 g, 21.8 mmol) in anhydrous  $CH_2Cl_2$  (200 mL) was added Hünig's base (11.4 mL, 65.3 mmol) and thionyl chloride (1.91 mL, 26.1 mmol) and the resulting solution stirred for 25 min. After this time, the reaction mixture was poured into satd aq NaHCO<sub>3</sub> solution (100 mL) and extracted with Et<sub>2</sub>O (100 mL $\times$ 3). The organic extracts were combined, dried  $(MgSO<sub>4</sub>)$ , filtered and concentrated in vacuo. The crude residue (chloride 14) was dissolved in THF (220 mL) and glacial acetic acid (22 mL) and cooled to 0 $\degree$ C. Zinc powder (16.9 g, 259 mmol) was added and the resulting mixture allowed to warm to rt while stirring for 2 h. The reaction mixture was then filtered through a pad of Celite<sup>®</sup>, the filtrate diluted with  $Et<sub>2</sub>O$  (100 mL) then washed with  $H<sub>2</sub>O$  (200 mL) and satd aq NaHCO<sub>3</sub> solution ( $3\times150$  mL), dried (MgSO<sub>4</sub>), filtered and concentrated in vacuo. Chromatographic purification (petrol/Et<sub>2</sub>O 80:20) gave 15 (6.81 g, 87%), as an off-white solid. Mp 59-62 °C (petrol); Found: C, 81.85; H, 6.25; N, 5.0; C<sub>18</sub>H<sub>17</sub>NO requires C, 82.1; H, 6.5; N, 5.3%;  $\nu_{\text{max}}$  (KBr)/cm<sup>-1</sup> 3060, 2921, 1712, 1612, 1466, 750;  $\delta_H$  (400 MHz, CDCl<sub>3</sub>) 7.33–7.22 (6H, m, ArH), 7.18–7.13 (1H, m, ArH), 7.03–6.98 (1H, m, ArH), 6.70 (1H, d, J=7.7, C(7)H), 5.81–5.70 (1H, m,  $HC=CH<sub>2</sub>$ ), 5.17–5.10 (1H, m, HC=CH<sub>2</sub>), 5.09–5.04 (1H, m, HC=CH<sub>2</sub>), 4.99 (1H, ABq,  $J_{AB}$ =15.7, CH<sub>A</sub>H<sub>B</sub>Ph), 4.83 (1H, ABq,  $J_{BA}$ =15.7, CH<sub>A</sub>H<sub>B</sub>Ph), 3.61 (1H, dd, J=7.2 and 5.0, C(3)H), 2.93-2.84 (1H, m, CH<sub>2</sub>CH=CH<sub>2</sub>), 2.70-2.60 (1H, m, CH<sub>2</sub>CH=CH<sub>2</sub>);  $\delta_C$  (100 MHz, CDCl3) 177.3, 143.4, 135.9, 133.9, 128.7, 128.6, 127.9, 127.6, 127.3, 124.2, 122.3, 118.2, 109.0, 45.2, 43.7, 35.0;  $m/z$  (CI) 264 ([M+H]<sup>+</sup>, 100%); HRMS (ESI<sup>+</sup>) 264.1384 ([M+H]<sup>+</sup> C<sub>18</sub>H<sub>18</sub>NO requires 264.1383  $(+0.3$  ppm)).

4.3.3. 3-Allyl-1-benzylindol-2-yl phenyl carbonate 16.



A solution of **15** (3.90 g, 14.8 mmol) in THF (28 mL) at  $-78$  °C was added slowly to a stirred solution of KHMDS (0.42 M solution in toluene, 42.3 mL, 17.8 mmol) in THF (28 mL) at  $-78$  °C and stirred for 30 min. This solution was then transferred via cannula to a stirred solution of phenyl chloroformate (2.24 mL, 17.8 mmol) in THF (34 mL) at  $-78$  °C and the resulting solution allowed to warm slowly to rt while stirring for 3 h. The reaction mixture was then poured into 0.1 N aq HCl (50 mL) and extracted with  $Et<sub>2</sub>O$  $(75 \text{ mL} \times 3)$ . The combined organic extracts were washed with brine, dried ( $MgSO<sub>4</sub>$ ), filtered and concentrated in vacuo. Chromatographic purification (petrol/ $Et_2O$  80:20) gave **16** (4.28 g, 76%) as a fluffy colourless solid. Mp 58–61 °C (petrol);  $\nu_{\text{max}}$  (KBr)/cm<sup>-1</sup> 3055, 1788, 1624, 1465, 1229, 1201, 739;  $\delta_H$  (300 MHz, CDCl<sub>3</sub>) 7.65-7.60 (1H, m, ArH), 7.46–7.07 (13H, m, ArH), 6.14–5.99 (1H, m,  $HC=CH<sub>2</sub>$ ), 5.31 (2H, s, CH<sub>2</sub>Ph), 5.28–5.19 (1H, m, HC=CH<sub>2</sub>), 5.16– 5.09 (1H, m, HC=CH<sub>2</sub>), 3.54 (2H, dt, J=6.3 and 1.5, CH<sub>2</sub>CH=CH<sub>2</sub>);  $\delta_C$ (75 MHz, CDCl3) 150.8, 150.6, 139.0, 137.0, 136.1, 132.4, 129.6, 128.8, 127.6, 126.7, 126.6, 126.0, 122.1, 120.6, 120.0, 119.4, 115.5, 109.6, 99.5, 46.0, 27.8;  $m/z$  (CI) 384 ([M+H]<sup>+</sup>, 30%), 264 ([M-CO<sub>2</sub>Ph+H]<sup>+</sup>, 100%); HRMS (ESI<sup>+</sup>) 384.1597 ([M+H]<sup>+</sup> C<sub>25</sub>H<sub>22</sub>NO<sub>3</sub> requires  $384.1594 (+0.7 ppm)$ ).

4.3.4. Phenyl-3-allyl-1-benzyl-2-oxo-2,3-dihydroindole carboxylate 18.



Catalyst loading (9 mol %): following general procedure A, KHMDS (0.5 M in toluene, 1.67 mL, 0.835 mmol, 9 mol %), triazolium salt 17 (253 mg, 0.927 mmol, 10 mol %), THF (35 mL) and 3 allyl-1-benzylindol-2-yl phenyl carbonate 16 (3.55 g, 9.26 mmol) gave, after chromatographic purification (petrol/Et<sub>2</sub>O 90:10), compound 18 (2.60 g, 73%) as a colourless solid.

Catalyst loading (4 mol %): following general procedure A, KHMDS (0.5 M in toluene, 46  $\mu$ L, 0.023 mmol, 4 mol %), triazolium salt 17 (8 mg, 0.029 mmol, 5 mol %), THF (1.1 mL) and 3-allyl-1 benzylindol-2-yl phenyl carbonate 16 (221 mg, 0.577 mmol) gave, after chromatographic purification (petrol/ $Et<sub>2</sub>O$  90:10), compound 18 (154 mg, 70%) as a colourless solid.

Catalyst loading (1.5 mol %): following general procedure A, KHMDS (0.5 M in toluene,  $27 \mu L$ , 0.014 mmol, 1.5 mol %), triazolium salt  $17$  (5 mg, 0.018 mmol, 2 mol %), THF (0.9 mL) and 3-allyl-1-benzylindol-2-yl phenyl carbonate 16 (346 mg, 0.902 mmol) gave, after chromatographic purification (petrol/ Et<sub>2</sub>O 90:10), compound **18** (170 mg, 49%) as a colourless solid. Mp 62–64 °C (petrol); Found: C, 78.6; H, 5.25; N, 3.9. C<sub>25</sub>H<sub>21</sub>NO<sub>3</sub> requires C, 78.3; H, 5.5; N, 3.65%;  $v_{\text{max}}$  (KBr)/cm<sup>-1</sup> 3061, 2902, 1754, 1721, 1609, 1466, 1210, 1186, 753;  $\delta_H$  (400 MHz, CDCl<sub>3</sub>) 7.40-7.18 (10H, m, ArH), 7.13–7.06 (1H, m, ArH), 7.00–6.95 (2H, m, ArH), 6.76–6.71 (1H, m,  $C(7)H$ ), 5.53–5.41 (1H, m,  $HC=CH_2$ ), 5.18–5.12 (1H, m, HC=CH<sub>2</sub>), 5.04 (1H, ABq, J<sub>AB</sub>=15.8, CH<sub>A</sub>H<sub>B</sub>Ph), 5.02–4.98 (1H, m, HC=CH<sub>2</sub>), 4.94 (1H, ABq, J<sub>BA</sub>=15.8, CH<sub>A</sub>H<sub>B</sub>Ph), 3.21-3.10 (2H, m, CH<sub>2</sub>CH=CH<sub>2</sub>);  $\delta_C$  (100 MHz, CDCl<sub>3</sub>) 173.5, 167.7, 150.4, 143.5, 135.4, 130.9, 129.4 (2C), 128.8, 127.7, 127.2 (2C), 126.2, 123.6, 123.0, 121.2, 120.3, 109.7, 59.4, 44.0, 38.0; m/z (CI) 401  $([M+NH_4]^+, 55\%)$ , 384  $([M+H]^+, 100\%)$ ; HRMS  $(ESI^+)$  384.1593  $([M+H]^+ C_{25}H_{22}NO_3$  requires 384.1594 (-0.2 ppm)).

4.3.5. 1'-Benzyl-5-(hydroxymethyl)-4,5-dihydro-2H-spiro[furan-3,3'-indolin]-2,2'-dione 19.



Following general procedure B, osmium tetroxide  $(130 \mu L, 1\%)$ solution in  $H_2O$ ), **18** (100 mg, 0.26 mmol) and N-methylmorpholine-N-oxide (78 mg, 0.66 mmol) in  $CH_2Cl_2$  (2 mL) gave, after chromatographic purification (petrol/Et<sub>2</sub>O 80:20), both diastereomers of 19, major (33 mg, 39%); minor (19 mg, 23%) as colourless gums. Major diastereomer:  $v_{\text{max}}$  (KBr)/cm<sup>-1</sup> 3430, 2928, 1767, 1714, 1611, 1489, 1468, 1170, 734;  $\delta_H$  (400 MHz, CDCl<sub>3</sub>) 7.28–7.12 (7H, m, ArH), 7.02–6.95 (1H, m, ArH), 6.70–6.65 (1H, m, C(7)H), 4.98-4.88 (1H, m, CHCH<sub>2</sub>OH), 4.98 (1H, ABq,  $J_{AB}$ =15.8,  $CH_AH_BPh$ , 4.77 (1H, ABq,  $J_{BA}$ =15.8, CH<sub>A</sub>H<sub>B</sub>Ph), 3.96 (1H, dd, J=12.6 and 4.0, CH<sub>A</sub>H<sub>B</sub>OH), 3.90 (1H, dd, J=12.6 and 3.9, CH<sub>A</sub>H- $_{B}$ OH), 2.85 (1H, dd, J=13.5 and 7.9, CH<sub>A</sub>H<sub>B</sub>CHCH<sub>2</sub>OH), 2.56 (1H, dd, J=13.5 and 7.5, CH<sub>A</sub>H<sub>B</sub>CHCH<sub>2</sub>OH);  $\delta_C$  (75 MHz; CDCl<sub>3</sub>) 173.9, 172.3, 143.0, 135.0, 129.8, 129.0, 128.6, 127.9, 127.1, 123.6, 122.7, 110.2, 79.5, 64.3, 56.8, 44.3, 34.0;  $m/z$  (ESI<sup>+</sup>) 346 ([M+Na], 100%); HRMS  $(ESI^+)$  346.1064 ([M+Na] C<sub>19</sub>H<sub>17</sub>NNaO<sub>4</sub> requires 346.1055 (+2.6 ppm)); Minor diastereomer:  $\delta_{\rm H}$  (400 MHz, CDCl<sub>3</sub>) 7.36–7.21 (7H, m, ArH), 7.11–7.05 (1H, m, ArH), 6.77–6.72 (1H, m, C(7)H), 5.24–5.16 (1H, m, CHCH<sub>2</sub>OH), 4.99 (1H, ABq, J<sub>AB</sub>=15.8, CH<sub>A</sub>H<sub>B</sub>Ph), 4.85 (1H, ABq,  $J_{BA}$ =15.8, CH<sub>A</sub>H<sub>B</sub>Ph), 4.19-4.11 (1H, m, CH<sub>2</sub>OH), 3.80–3.70 (1H, m, CH<sub>2</sub>OH), 2.87–2.74 (2H, m, CH<sub>2</sub>CHCH<sub>2</sub>OH) and 2.24 (1H, s, OH).

4.3.6. 3-Allyl-1-benzyl-3-(hydroxymethyl)-2-oxo-2,3-dihydroindole 20.



Following the method described by Loreto et al.,  $30$  sodium borohydride (163 mg, 4.30 mmol) was added to a suspension of 18 (550 mg, 1.43 mmol) and calcium chloride (239 mg, 2.15 mmol) in MeOH (3.5 mL) at 0  $\degree$ C and the resulting mixture stirred for 4 h then warmed to rt and stirred overnight before concentration in vacuo. 3 N citric acid was added dropwise until reaching pH 2–3 before extracting with  $CH_2Cl_2$  (25 mL $\times$ 3). The organic extracts were combined, dried  $(Na<sub>2</sub>SO<sub>4</sub>)$  and concentrated in vacuo to give, after chromatographic purification (petrol/Et<sub>2</sub>O 90:10), **20** (340 mg, 80%) as a colourless solid. Mp 136-138 °C (petrol);  $v_{\text{max}}$  (KBr)/cm<sup>-1</sup> 3440, 3053, 2923, 1691, 1614, 1490, 1465, 1182, 743;  $\delta_H$  (300 MHz, CDCl<sub>3</sub>) 7.35–7.24 (6H, m, ArH), 7.19 (1H, td, J=7.7 and 1.3, ArH), 7.07 (1H, td, J=7.5 and 0.9, ArH), 6.73 (1H, d, J=7.7, C(7)H), 5.55-5.41 (1H, m,  $HC=CH_2$ ), 5.13–5.05 (1H, m,  $HC=CH_2$ ), 5.03–4.95 (1H, m,  $HC=CH_2$ ), 4.99 (1H, ABq, J<sub>AB</sub>=15.7, CH<sub>A</sub>H<sub>B</sub>Ph), 4.88 (1H, ABq,  $J_{BA}$ =15.7, CH<sub>A</sub>H<sub>B</sub>Ph), 3.98 (1H, dd, J=11.0 and 9.4, CH<sub>2</sub>OH), 3.84 (1H, dd, J = 11.0 and 3.5, CH<sub>2</sub>OH), 2.82–2.74 (1H, m, CH<sub>2</sub>CH = CH<sub>2</sub>), 2.72– 2.64 (1H, m, CH<sub>2</sub>CH=CH<sub>2</sub>), 2.32 (1H, dd, J=9.4 and 3.5, CH<sub>2</sub>OH);  $\delta_C$ (75 MHz, CDCl3) 179.3, 143.7, 136.0, 132.3, 129.8, 129.2, 128.8, 128.0, 127.6, 123.7, 123.1, 119.7, 109.8, 67.2, 54.7, 44.1, 37.8;  $m/z$  (ESI<sup>+</sup>) 316 ([M+Na], 100%); HRMS (ESI<sup>+</sup>) 316.1303 ([M+Na] C<sub>19</sub>H<sub>19</sub>NNaO<sub>2</sub> requires 316.1313 ( $-3.3$  ppm)).

#### 4.3.7. 3-Allyl-1-benzyl-1H-indole 21.



Lithium aluminium hydride (1.0 M solution in THF, 0.156 mL, 0.156 mmol) was added to a suspension of 18 (60 mg, 0.156 mmol) in THF (0.6 mL) at 0  $\degree$ C and stirred for 2 h. The reaction was then warmed to rt and stirred overnight before being quenched with ice/water and extracted with  $CH_2Cl_2$  (25 mL $\times$ 3). The organic extracts were combined, dried  $(MgSO<sub>4</sub>)$  and concentrated in vacuo to give, after chromatographic purification (petrol/Et<sub>2</sub>O 80:20), 21 (17 mg, 44%) as a colourless gum with spectroscopic data in ac-cordance with the literature.<sup>[34](#page-12-0)</sup>  $\delta_H$  (300 MHz, CDCl<sub>3</sub>) 7.57–7.50 (1H, m, C(4)H), 7.25–7.13 (4H, m, ArH), 7.12–7.06 (1H, m, ArH), 7.06– 6.99 (3H, m, ArH), 6.84 (1H, s, C(2)H), 6.06–5.92 (1H, m, HC=CH<sub>2</sub>), 5.19 (2H, s, NCH<sub>2</sub>Ph), 5.12–5.04 (1H, m, HC=CH<sub>2</sub>), 5.01–4.95 (1H, m, HC=CH<sub>2</sub>), 3.45 (2H, ddd, J=6.5, 2.4 and 1.3, CH<sub>2</sub>CH=CH<sub>2</sub>).

4.3.8. 1-Benzyl-3-(2,3-dihydroxypropyl)-3-(hydroxymethyl)-2-oxo-2,3-dihydroindole 22.



ABq, J<sub>AB</sub>=10.7, CH<sub>A</sub>H<sub>B</sub>OH), 3.86 (1H, ABq, J<sub>BA</sub>=10.7, CH<sub>A</sub>H<sub>B</sub>OH), 3.62– 3.55 (1H, m, CH(OH)CH2OH), 3.33–3.29 (2H, m, CH(OH)CH2OH), 2.13-1.99 (2H, m, CH<sub>2</sub>CH(OH)CH<sub>2</sub>OH);  $\delta_C$  (75 MHz, CD<sub>3</sub>OD) 181.8, 145.3, 137.6, 131.7, 129.6, 128.9, 128.3 (2C), 124.8, 123.5, 110.4, 70.1, 68.8, 67.7, 55.1, 44.7, 37.0;  $m/z$  (ESI<sup>+</sup>) 350 ([M+Na], 100%); HRMS  $(ESI^+)$  350.1375 ([M+Na] C<sub>19</sub>H<sub>21</sub>NNaO<sub>4</sub> requires 350.1368  $(+2.0$  ppm $)).$ 

4.3.9. 1'-Benzyl-5-hydroxy-2',3',4,5-tetrahydro-2H-spiro[furan-3,3'indolin]-2'-one **23**.



Sodium periodate (73 mg, 0.341 mmol) was added to a solution of 22 (60 mg, 0.183 mmol) in acetone (0.9 mL) and  $H_2O$  (0.2 mL) and the solution stirred at rt for 4 h. The solution was then diluted with H<sub>2</sub>O (20 mL) and Et<sub>2</sub>O (20 mL), the layers separated and the aqueous phase further extracted with  $Et<sub>2</sub>O$  (25 mL $\times$ 3). The organic extracts were combined, dried  $(MgSO<sub>4</sub>)$  and concentrated in vacuo to give, after chromatographic purification (EtOAc/petrol 50:50), a 78:22 mixture of diastereomers of lactol 23 (45 mg, 83%) as a colourless foam.  $v_{\text{max}}$  (KBr)/cm<sup>-1</sup> 3365, 3062, 2942, 1685, 1613, 1489, 1467, 1174, 1028, 730; δ<sub>H</sub> (300 MHz, CDCl<sub>3</sub>) 7.39-7.07 (8H, m, ArH), 6.83–6.79 (1H, m, C(7)H), 6.28 (1H, d, J=12.1, OH), 5.75 (1H, dd,  $J=12.1$  and 4.8, CHOH), 4.96 (2H, s, CH<sub>2</sub>Ph), 4.48 (1H, ABq,  $J_{AB} = 9.0$ , CH<sub>A</sub>H<sub>B</sub>OCHOH), 4.11 (1H, ABq,  $J_{BA} = 9.0$ , CH<sub>A</sub>H<sub>B</sub>OCHOH), 2.55 (1H, dd, J=13.5 and 4.8, CHAHBCHOH), 2.41 (1H, d, J=13.5, CH<sub>A</sub>H<sub>B</sub>CHOH);  $\delta_C$  (75 MHz, CDCl<sub>3</sub>) 181.6, 142.7, 135.2, 130.2, 129.0, 128.8, 128.0, 127.4, 123.8, 122.6, 109.7, 100.6, 76.0, 53.7, 44.4, 44.2;  $m/z$  (ESI<sup>+</sup>) 318 ([M+Na], 100%); HRMS (ESI<sup>+</sup>) 318.1112 ([M+Na]  $C_{18}H_{17}$ NaNO<sub>3</sub> requires 318.1106 (+2.0 ppm)).

4.3.10. 1-Benzyl-3-(2-hydroxyethyl)-3-(hydroxymethyl)-2-oxo-2,3 dihydroindole 24.



Following general procedure B, osmium tetroxide  $(187 \mu L, 1\%)$ solution in water), 20 (110 mg, 0.38 mmol) and N-methylmorpholine-N-oxide (112 mg, 0.96 mmol) in  $CH<sub>2</sub>Cl<sub>2</sub>$  (3 mL) gave, after trituration with EtOAc, a 61:39 mixture of diastereomers of 22 (50 mg, 41%) as a colourless solid. The mother liquor was concentrated in vacuo to give, after chromatographic purification (EtOAc/ petrol 80:20 $\rightarrow$ 100% EtOAc $\rightarrow$ EtOAc/MeOH 95:5), a further 21:79 mixture of diastereoisomers of 22 (62 mg, 51%). Mp 146-150  $\degree$ C (EtOAc);  $v_{\text{max}}$  (KBr)/cm<sup>-1</sup> 3495, 3444, 2933, 2917, 1658, 1611, 1492, 1467, 1183, 1077, 763;  $\delta_H$  (400 MHz, CD<sub>3</sub>OD) 7.44–7.13 (7H, m, ArH), 7.11–7.05 (1H, m, ArH), 6.82–6.76 (1H, m, C(7)H), 5.05 (1H, ABq,  $J_{AB}$ =15.9, CH<sub>A</sub>H<sub>B</sub>Ph), 4.89 (1H, ABq,  $J_{AB}$ =15.9, CH<sub>A</sub>H<sub>B</sub>Ph), 3.99 (1H,

Sodium borohydride (0.01 g, 0.264 mmol) was added to a solution of 23 (40 mg, 0.135 mmol) in MeOH (0.2 mL) and the solution stirred at rt for 1.5 h. The solution was then quenched with 1 N HCl (1.5 mL) diluted with  $H<sub>2</sub>O$  (20 mL), extracted with EtOAc  $(25 \text{ mL} \times 3)$ , dried (MgSO<sub>4</sub>) and concentrated in vacuo to give, after chromatographic purification (EtOAc/petrol 80:20), 24 (27 mg, 67%) as a colourless solid. Mp 142–146 °C;  $v_{\text{max}}$  (KBr)/  $\text{cm}^{-1}$  3460, 2920, 2875, 1675, 1612, 1491, 1466, 1185, 1028, 743;  $\delta_{\text{H}}$ (300 MHz, CD<sub>3</sub>OD) 7.39-7.14 (7H, m, ArH), 7.08 (1H, td, J=7.5 and 1.0, ArH), 6.81-6.75 (1H, m, C(7)H), 4.99 (1H, ABq,  $J_{AB} = 15.9$ ,  $CH_AH_BPh$ ), 4.91 (1H, ABq,  $J_{BA}$ =15.9, CH<sub>A</sub>H<sub>B</sub>Ph), 3.88 (1H, ABq,  $J_{AB}$ =10.6, CH<sub>A</sub>H<sub>B</sub>OH), 3.84 (1H, ABq,  $J_{AB}$ =10.6, CH<sub>A</sub>H<sub>B</sub>OH), 3.33-3.22 (2H, m, CH<sub>2</sub>CH<sub>2</sub>OH), 2.23-2.01 (2H, m, CH<sub>2</sub>CH<sub>2</sub>OH);  $\delta_C$ 

(75 MHz, CD3OD) 181.9, 145.6, 138.3, 132.4, 130.5, 129.9, 129.3, 129.1, 125.4, 124.6, 111.3, 69.1, 59.7, 55.9, 45.4, 37.4;  $m/z$  (ESI<sup>+</sup>) 320 ([M+Na], 100%); HRMS (ESI<sup>+</sup>) 320.1274 ([M+Na] C<sub>18</sub>H<sub>19</sub>NNaO<sub>3</sub> requires 320.1263 (+3.6 ppm)).

4.3.11. 2-(1-Benzyl-3-((methylsulfonyloxy)methyl)-2-oxo-2,3-dihydroindol-3-yl)ethyl methanesulfonate 25.



To a solution of 24 (295 mg, 0.990 mmol) and triethylamine (0.290 mL, 2.08 mmol) in  $CH_2Cl_2$  (6.5 mL) at 0 °C was added dropwise mesyl chloride (0.160 mL, 2.08 mmol) and the resulting mixture stirred for 2 h. The reaction mixture was then warmed to rt and stirred overnight before quenching with satd aq NaHCO<sub>3</sub> solution (10 mL).  $CH_2Cl_2$  (25 mL) and brine (10 mL) were added, the layers separated and the aqueous phase further extracted with  $CH<sub>2</sub>Cl<sub>2</sub>$  (15 mL $\times$ 3). The organic extracts were combined, dried (MgSO4) and concentrated in vacuo to give, after chromatographic purification (EtOAc/petrol 50:50  $\rightarrow$  100% EtOAc), 25 (383 mg, 85%) as a colourless foam.  $\nu_{\text{max}}$  (KBr)/cm<sup>-1</sup> 2921, 1708, 1612, 1174;  $\delta_{\text{H}}$  $(300 \text{ MHz}, \text{CDCl}_3)$  7.39–7.22 (7H, m, ArH), 7.13 (1H, td, J=7.5 and 0.8, ArH), 6.82 (1H, d, J=7.8, ArH), 5.15 (1H, ABq, J<sub>AB</sub>=15.8,  $CH_AH_BPh$ ), 4.77 (1H, ABq, J<sub>BA</sub>=15.8, CH<sub>A</sub>H<sub>B</sub>Ph), 4.58 (1H, ABq,  $J_{AB} = 9.7$ , CH<sub>A</sub>H<sub>B</sub>OMs), 4.43 (1H, ABq,  $J_{BA} = 9.7$ , CH<sub>A</sub>H<sub>B</sub>OMs), 4.18– 3.98 (2H, m, CH<sub>2</sub>OMs), 2.75 (3H, s, CH<sub>3</sub>), 2.68 (3H, s, CH<sub>3</sub>), 2.58-2.44 (1H, m, CH<sub>2</sub>), 2.35–2.25 (1H, m, CH<sub>2</sub>);  $\delta_C$  (75 MHz, CDCl<sub>3</sub>) 176.0, 143.7, 135.8, 130.0, 129.4, 128.3, 127.6, 127.3, 124.4, 123.7, 110.3, 72.8, 65.3, 50.9, 44.5, 37.6 (2C), 32.3;  $m/z$  (ESI<sup>+</sup>) 476 ([M+Na], 100%); HRMS (ESI<sup>+</sup>) 476.0811 ([M+Na] C<sub>20</sub>H<sub>23</sub>NaNO<sub>7</sub>S<sub>2</sub> requires 476.0814  $(-0.2$  ppm)).

4.3.12. N-Benzyl-coerulescine 26.



To a solution of  $25$  (35 mg, 0.077 mmol) in EtOH (0.4 mL) was added methylamine (2.0 M solution in THF, 0.12 mL, 0.23 mmol) and the solution heated to 105 $\degree$ C in a sealed tube overnight. The solution was concentrated in vacuo, the residue dissolved in  $CH_2Cl_2$ (10 mL), washed with 0.1 N HCl (aq) (5 mL $\times$ 2), dried (MgSO<sub>4</sub>), filtered and concentrated in vacuo. Chromatographic purification (EtOAc/petrol 50:50 $\rightarrow$  100% EtOAc) gave 26 (55 mg, 71%) as a colourless gum with spectroscopic data in accordance with the literature.<sup>15</sup>  $\delta_H$  (300 MHz, CDCl<sub>3</sub>) 7.45 (1H, dd, J=7.3 and 0.9, ArH), 7.36–7.24 (5H, m, PhH), 7.15 (1H, td,  $J=7.7$  and 1.4, ArH), 7.04 (1H, td,  $J=7.5$  and 1.0, ArH), 6.70 (1H, d,  $J=7.3$ , ArH), 4.92 (2H, s, CH<sub>2</sub>Ph), 3.15–3.07 (1H, m, CH<sub>2</sub>), 2.94 (1H, ABq, J=9.2, CH<sub>A</sub>H<sub>B</sub>), 2.89 (1H, ABq, J=9.2, CH<sub>A</sub>H<sub>B</sub>), 2.79 (1H, q, J=8.3, CH<sub>2</sub>), 2.49 (3H, s, NCH<sub>3</sub>), 2.48–2.40 (1H, m, CH<sub>2</sub>), 2.14 (1H, dt, J=12.7 and 7.7, CH<sub>2</sub>);  $\delta_C$  (75 MHz, CDCl<sub>3</sub>) 180.6, 142.1, 136.1, 136.0, 128.9, 127.7, 127.7, 127.4, 123.2, 123.0, 108.9, 66.7, 56.9, 53.5, 43.9, 42.1, 38.2.

4.3.13. 3-Allyl-1-benzyl-3-hydroxy-5-methoxy-2-oxo-2,3-dihydroindole 28.



To a stirred solution of  $27^{35}$  $27^{35}$  $27^{35}$  (5.50 g, 1.87 mmol) in THF (100 mL) cooled to  $-78$  °C was slowly added allylmagnesium bromide  $(22.6 \text{ mL}, 22.6 \text{ mmol}, 1.0 \text{ M}$  solution in Et<sub>2</sub>O) and the reaction mixture stirred at  $-78$  °C for 10 min and then at 0 °C for 20 min. After this time, the reaction was quenched with satd aq NH4Cl solution (210 mL) and extracted with EtOAc (150 mL $\times$ 3). The organic extracts were combined, washed with  $H<sub>2</sub>O$  (150 mL), brine (150 mL), dried (MgSO<sub>4</sub>), filtered and concentrated in vacuo. Trituration with Et<sub>2</sub>O gave 28 as a yellow solid  $(3.89 g)$ . Chromatographic purification (petrol/EtOAc 80:20) of the mother liquor also gave 28 as an orange solid (806 mg). Total yield: 4.70 g, 74%. Mp 151–153 °C;  $v_{\text{max}}$  (KBr)/cm<sup>-1</sup>3277, 2935, 1694, 1610, 1184, 811;  $\delta_H$  (400 MHz, CDCl<sub>3</sub>) 7.37–7.24 (5H, m, ArH), 7.05 (1H, d, J=2.5,  $C(4)H$ ), 6.74 (1H, dd, J=8.5 and 2.5, C(6)H), 6.61 (1H, d, J=8.5,  $C(7)H$ ), 5.72-5.59 (1H, m,  $C(3)CH_2CHCH_2$ ), 5.23-5.10 (2H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>), 5.03 (1H, ABq, J<sub>AB</sub>=15.7, CH<sub>A</sub>H<sub>B</sub>Ph), 4.73 (1H, ABq,  $J_{BA}$ =15.7, CH<sub>A</sub>H<sub>B</sub>Ph), 3.79 (3H, s, OCH<sub>3</sub>), 3.48 (1H, s, OH), 2.88–2.68 (2H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>);  $\delta_C$  (75 MHz, CDCl<sub>3</sub>) 177.9, 156.3, 135.7, 135.5, 131.0, 130.6, 128.8, 127.7, 127.3, 120.6, 114.2, 111.3, 110.1, 76.4, 55.8, 43.9, 43.1;  $m/z$  (ESI<sup>+</sup>) 619 ([2M+H]<sup>+</sup>, 65%), 310.1 ([M+H]<sup>+</sup>, 100%); HRMS (ESI<sup>+</sup>) 310.1443 ([M+H]<sup>+</sup> C<sub>19</sub>H<sub>20</sub>NO<sub>3</sub><sup>+</sup> requires 310.1438  $(+1.7$  ppm)).

4.3.14. 3-Allyl-1-benzyl-3-chloro-5-methoxy-2-oxo-2,3-dihydroindole 29.



To a solution of **28** (0.326 g, 1.06 mmol) in  $CH_2Cl_2$  (10 mL) was added Hünig's base (0.550 mL, 3.16 mmol) and thionyl chloride (90  $\mu$ L, 1.26 mmol). The resultant solution was stirred at rt for 15 min, then poured into satd aq NaHCO<sub>3</sub> solution and the mixture extracted with  $Et<sub>2</sub>O$  (25 mL $\times$ 3). The organic extracts were combined, dried (MgSO<sub>4</sub>), filtered and concentrated to afford, after chromatographic purification (petrol/Et<sub>2</sub>O 85:15), chloride 29 (260 mg, 75%) as a dark yellow oil.  $\nu_{\rm max}$  (thin film)/cm<sup>-1</sup> 2927, 1726, 1603, 1496, 1178, 732, 697; δ<sub>H</sub> (300 MHz, CDCl<sub>3</sub>) 7.28-7.15 (5H, m, ArH), 6.94 (1H, d, J=2.5, C(4)H), 6.66 (1H, dd, J=8.6 and 2.5, C(6)H), 6.51 (1H, d, J=8.6, C(7)H), 5.54–5.39 (1H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>), 5.14– 5.00 (2H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>), 4.90 (1H, ABq, J<sub>AB</sub>=15.7, CH<sub>A</sub>H<sub>B</sub>Ph), 4.74 (1H, ABq,  $J_{BA}$ =15.7, CH<sub>A</sub>H<sub>B</sub>Ph), 3.70 (3H, s, OCH<sub>3</sub>), 3.04–2.89 (2H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>);  $\delta_C$  (100 MHz, CDCl<sub>3</sub>) 173.5, 156.4, 135.2, 135.1, 130.2 (2C), 128.8, 127.8, 127.2, 121.2, 114.7, 111.5, 110.3, 64.2, 55.9, 44.2, 43.3;  $m/z$  (ESI<sup>+</sup>) 328 ([M (<sup>35</sup>Cl)+H]<sup>+</sup>, 100%), 330 ([M

(<sup>37</sup>Cl)+H]<sup>+</sup>, 30%); HRMS (ESI<sup>+</sup>) 328.1102 ([M+H]<sup>+</sup> C<sub>19</sub>H<sub>19</sub><sup>35</sup>ClNO<del>2</del> requires  $328.1099 (+1.0 ppm)$ .

4.3.15. 3-Allyl-1-benzyl-5-methoxy-2-oxo-2,3-dihydroindole 30.



Method 1: To a stirred solution of 29 (353 mg, 1.08 mmol) in THF (11 mL) was added glacial acetic acid (1.1 mL) and the resultant solution cooled to 0 $\degree$ C. Zinc powder (1.06 g, 16.2 mmol) was then added and the resulting mixture allowed to warm to rt while stirring for 2 h. The reaction mixture was filtered through a pad of Celite®, the filtrate diluted with Et<sub>2</sub>O (20 mL), washed with H<sub>2</sub>O (20 mL), satd aq NaHCO<sub>3</sub> solution ( $3\times40$  mL), dried (MgSO<sub>4</sub>), filtered and concentrated in vacuo. Chromatographic purification (petrol/Et<sub>2</sub>O 80:20) gave **30** as a yellow oil (260 mg, 82%).

Method 2: Following the method of Trost et al.,  $2\bar{7}$  to a stirred solution of 28 (4.45 g, 14.4 mmol) in anhydrous  $CH_2Cl_2$  (140 mL) was added Hünig's base (7.50 mL, 43.2 mmol) and thionyl chloride (1.26 mL, 17.3 mmol) and the resulting solution stirred for 15 min. After this time, the reaction mixture was poured into satd aq NaHCO<sub>3</sub> solution (100 mL) and extracted with  $Et<sub>2</sub>O$  (50 mL $\times$ 3). The organic extracts were combined, dried (MgSO<sub>4</sub>), filtered and concentrated in vacuo. The crude residue (chloride 29) was dissolved in THF (140 mL) and glacial acetic acid (10 mL) then cooled to 0  $\degree$ C. Zinc powder (14.1 g, 216 mmol) was added and the resulting mixture allowed to warm to rt while stirring for 2 h. The reaction mixture was filtered through a pad of Celite®, the filtrate diluted with Et<sub>2</sub>O (100 mL), washed with H<sub>2</sub>O (200 mL), satd ag NaHCO<sub>3</sub> solution ( $3\times100$  mL), dried (MgSO<sub>4</sub>), filtered and concentrated in vacuo. Chromatographic purification (petrol/Et<sub>2</sub>O 80:20) gave 30 as a yellow-brown oil, which solidified on standing (2.96 g, 70% over two steps). Mp 46–48 °C;  $v_{\text{max}}$  (thin film)/ cm<sup>-1</sup> 2925, 1707, 1600, 1493, 1177, 694;  $\delta_H$  (300 MHz, CDCl<sub>3</sub>) 7.38-7.22  $(5H, m, ArH)$ , 6.94 (1H, dd, J=2.5 and 0.9, C(4)H), 6.73–6.67 (1H, m,  $C(6)H$ ), 6.63–6.59 (1H, m, C(7)H), 5.86–5.70 (1H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>), 5.22–5.07 (2H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>), 4.99 (1H, ABq, J<sub>AB</sub>=15.7, CH<sub>A</sub>H<sub>B</sub>Ph), 4.83 (1H, ABq,  $J_{BA}$ =15.7, CH<sub>A</sub>H<sub>B</sub>Ph), 3.77 (3H, s, OCH<sub>3</sub>), 3.61 (1H, dd, J=7.3 and 4.9, C(3)H), 2.96-2.85 (1H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>), 2.73-2.61  $(1H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>); \delta<sub>C</sub>(100 MHz, CDCl<sub>3</sub>)$  176.9, 155.7, 136.9, 136.0, 133.9,130.0, 128.7, 127.5, 127.3, 118.3,111.9 (2C), 109.2, 55.8, 45.6, 43.8, 35.0;  $m/z$  (ESI<sup>+</sup>) 609 ([2M+Na]<sup>+</sup>, 15%), 587 ([2M+H]<sup>+</sup>, 20%), 316  $([M+Na]^+, 10%)$ , 294  $([M+H]^+, 100%)$ ; HRMS  $(ESI^+)$  294.1491  $([M+H]^+ C_{19}H_{20}NO_2^+$  requires 294.1489 (+0.8 ppm)).

4.3.16. 3-Allyl-1-benzyl-5-methoxyindol-2-yl phenyl carbonate 31.



A solution of 30 (2.78 g, 9.47 mmol) in THF (20 mL) at  $-78$  °C was added slowly to a stirred solution of KHMDS (0.5 M solution in toluene, 22.8 mL, 11.4 mmol) at  $-78$  °C and stirred for 30 min. This solutionwas then transferred via cannula to a stirred solution of phenyl chloroformate (1.43 mL, 11.4 mmol) in THF (20 mL) at  $-78$  °C and the

resulting solution allowed to warm slowly to rt while stirring for 3 h. The reaction mixture was then poured into 0.1 N aq HCl (50 mL) and extracted with  $Et<sub>2</sub>O$  (50 mL $\times$ 3). The combined organic extracts were washed with brine, dried (MgSO<sub>4</sub>), filtered and concentrated in vacuo. Recrystallisation (Et<sub>2</sub>O/petrol) afforded 31 (1.52 g, 39%) as a beige solid. Mp 90–92 °C;  $v_{\text{max}}$  (KBr)/cm<sup>-1</sup> 2956, 1792, 1591, 1224, 1199, 741;  $\delta_H$  (400 MHz, CDCl<sub>3</sub>) 7.44–7.37 (2H, m, ArH), 7.35–7.25 (4H, m, ArH), 7.22–7.18 (2H, m, ArH), 7.14–7.06 (4H, m, ArH), 6.88–6.83  $(1H, m, ArH)$ , 6.11–5.99 (1H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>), 5.27 (2H, s, CH<sub>2</sub>Ph), 5.27–5.20 (1H, m, C(3)CH2CHCH2), 5.15–5.10 (1H, m, C(3)CH2CHCH2), 3.87 (3H, s, OCH<sub>3</sub>), 3.53–3.48 (2H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>);  $\delta$ <sub>C</sub> (75 MHz, CDCl3) 154.3,150.8,150.6,139.4,136.0,137.0,129.6,128.8,127.6,127.4, 126.7,126.6,126.4,120.6,115.5,111.7,110.6,101.8, 99.3, 55.9, 46.2, 27.9;  $m/z$  (ESI<sup>+</sup>) 414 ([M+H]<sup>+</sup>, 100%); HRMS (ESI<sup>+</sup>) 414.1698 ([M+H]<sup>+</sup>  $C_{26}H_{24}NO<sub>4</sub>$  requires 414.1700 (-0.4 ppm)).

4.3.17. Phenyl-3-allyl-1-benzyl-5-methoxy-2-oxo-2,3-dihydroindole-3-carboxylate 32.



Following general procedure A, KHMDS (0.50 M solution in toluene, 0.090 mL, 0.045 mmol, 9 mol%), triazolium salt 17 (13.7 mg, 0.050 mmol, 10 mol %), THF (1.0 mL) and 31 (206 mg, 0.500 mmol) gave, after 1 h and chromatographic purification  $(\text{petrol/Et}_2O \ 90:10\rightarrow 80:20)$ , 32 (205 mg, 0.496 mmol, 99%) as a yellow solid. Mp 101-103 °C;  $v_{\text{max}}$  (KBr)/cm<sup>-1</sup> 2922, 1751, 1717, 1599, 1187, 1160, 702;  $\delta_H$  (400 MHz, CDCl<sub>3</sub>) 7.28–7.10 (8H, m, ArH), 6.94–6.89 (3H, m, ArH), 6.69–6.67 (1H, m, ArH), 6.56–6.53 (1H, m, ArH), 5.47-5.34 (1H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>), 5.12-5.05 (1H, m,  $C(3)CH<sub>2</sub>CHCH<sub>2</sub>$ ), 4.96-4.80 (3H, m, CH<sub>2</sub>Ph, C(3)CH<sub>2</sub>CHCH<sub>2</sub>), 3.69 (3H, s, OCH<sub>3</sub>), 3.06 (2H, d, J=7.3, C(3)CH<sub>2</sub>CHCH<sub>2</sub>);  $\delta_C$  (125 MHz, CDCl3) 172.1, 166.7, 155.1, 149.3, 135.7, 134.4, 129.8, 128.4, 127.7, 127.3, 126.6, 126.2, 125.1, 120.2, 119.3, 112.6, 109.8, 109.1, 58.7, 54.8, 43.0, 37.0;  $m/z$  (ESI<sup>+</sup>) 414 ([M+H]<sup>+</sup>, 100%); HRMS (ESI<sup>+</sup>) 414.1699  $([M+H]^+ C_{26}H_{24}NO_4^+$  requires 414.1700 (-0.2 ppm)).

4.3.18. 3-Allyl-1-benzyl-3-hydroxymethyl-5-methoxy-2-oxo-2,3-dihydroindole 33 and methyl 3-allyl-1-benzyl-5-methoxy-2-oxo-2,3 dihydroindole-3-carboxylate 34.



Method 1: Following the method described by Loreto et al.,  $30$  sodium borohydride (0.192 g, 5.08 mmol) was added to a suspension of 32 (1.05 g, 2.54 mmol) and calcium chloride (0.282 g, 2.54 mmol) in MeOH (5 mL) at  $0^{\circ}$ C and the resulting mixture stirred for 4 h. The reaction was then warmed to rt and stirred overnight before concentration in vacuo. 3 N citric acid was added dropwise until reaching pH 2–3 before extracting with  $CH_2Cl_2$  (25 mL $\times$ 3). The organic extracts were combined, dried ( $Na<sub>2</sub>SO<sub>4</sub>$ ) and concentrated in vacuo to give, after chromatographic purification (petrol/Et<sub>2</sub>O 80:20 $\rightarrow$ 40:60),

33 (318 mg, 39%) as a colourless solid and 34 (316 mg, 35%) as a yellow solid.

Method 2: To a stirred solution of 32 (103 mg, 0.250 mmol) in THF (2.0 mL) at  $-78$  °C was added LiAlH<sub>4</sub> (2.0 M solution in THF, 0.075 mL, 0.150 mmol) dropwise and the resulting solution stirred at  $-78$  °C for 45 min before the addition of EtOAc (10 mL) and 0.1 N HCl (10 mL). The mixture was allowed to warm to rt, the organic layer separated and the aqueous layer extracted with EtOAc (10 mL $\times$ 3). The combined organic layers were washed with brine (10 mL), dried (MgSO4) and concentrated in vacuo to give, after chromatographic purification (petrol/Et<sub>2</sub>O 80:20 $\rightarrow$ 40:60), 33 as a colourless solid (49 mg, 0.152 mmol, 61%).

Data for 33: mp 94–96 °C;  $v_{\text{max}}$  (KBr)/cm<sup>-1</sup> 3449, 2947, 1691, 1601, 1180, 695;  $\delta_H$  (400 MHz, CDCl<sub>3</sub>) 7.32–7.22 (5H, m, ArH), 6.85  $(1H, d, J=2.5, ArH), 6.71–6.66 (1H, m, ArH), 6.61–6.57 (1H, m, ArH),$ 5.54–5.42 (1H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>), 5.12–5.05 (1H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>), 5.00–4.95 (1H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>), 4.96 (1H, ABq,  $J_{AB}$ =15.8, CH<sub>A</sub>H<sub>B</sub>Ph), 4.84 (1H, ABq, J<sub>BA</sub>=15.8, CH<sub>A</sub>H<sub>B</sub>Ph), 3.99–3.91 (1H, m, CH<sub>A</sub>H<sub>B</sub>OH), 3.81 (1H, dd, J=10.9 and 3.4, CH<sub>A</sub>H<sub>B</sub>OH), 3.76 (3H, s, OCH3), 2.80–2.72 (1H, m, C(3)CH2CHCH2), 2.68–2.61 (1H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>), 2.34 (1H, dd, J=9.3 and 3.4, CH<sub>2</sub>OH);  $\delta$ <sub>C</sub> (75 MHz, CDCl3) 178.6, 156.0, 136.7, 135.7, 131.9, 130.9, 128.7, 127.6, 127.2, 119.3, 112.3, 111.1, 109.7, 66.8, 55.8, 54.6, 43.8, 37.4; m/z (ESI<sup>+</sup>) 346  $([M+Na]^+, 30\%)$ , 324  $([M+H]^+, 100\%)$ ; HRMS (ESI<sup>+</sup>) 324.1598  $([M+H]^+ C_{20} H_{22} NO_3^+$  requires 324.1599 (-0.4 ppm)).

Data for 34: mp 109-111 °C;  $v_{\text{max}}$  (KBr)/cm<sup>-1</sup> 2957, 1743, 1701, 1604, 1228, 1200, 695; δ<sub>H</sub> (300 MHz, CDCl<sub>3</sub>) 7.31-7.26 (5H, m, ArH), 6.88 (1H, d, J=2.5, ArH), 6.71 (1H, dd, J=8.5 and 2.6, ArH), 6.59–6.55  $(1H, m, ArH)$ , 5.47–5.30 (1H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>), 5.14–5.06 (1H, m,  $C(3)CH_2CHCH_2$ ), 4.99–4.95 (1H, m,  $C(3)CH_2CHCH_2$ ), 4.91 (2H, s, CH2Ph), 3.75 (3H, s, OCH3), 3.70 (3H, s, OCH3), 3.07–3.01 (2H, m, C(3)CH<sub>2</sub>CHCH<sub>2</sub>);  $\delta_C$  (75 MHz; CDCl<sub>3</sub>) 173.5, 169.6, 156.1, 136.7, 135.5, 131.0, 128.7 (2C), 127.6, 127.3, 120.1, 113.5, 110.8, 109.9, 59.6, 55.8, 53.1, 44.0, 38.2;  $m/z$  (ESI<sup>+</sup>) 352 ([M+H]<sup>+</sup>, 100%); HRMS (ESI<sup>+</sup>) 352.1545 ([M+H]<sup>+</sup> C<sub>21</sub>H<sub>22</sub>NO<sub>4</sub><sup>+</sup> requires 352.1543 (+0.5 ppm)).

4.3.19. 1-Benzyl-3-(2-hydroxyethyl)-3-(hydroxymethyl)-5-methoxy-2-oxo-2,3-dihydroindole 35.



Following general procedure B, osmium tetroxide  $(234 \mu L, 1\%)$  wt solution in  $H_2O$ ), 33 (149 mg, 0.461 mmol) and N-methylmorpholine-N-oxide (138 mg, 1.18 mmol) in  $CH_2Cl_2$  (5 mL) gave after chromatographic purification (petrol/EtOAc 20:80), a 62:38 mixture of diastereoisomers of triol 1-benzyl-3-(2,3-dihydroxypropyl)-3- (hydroxymethyl)-5-methoxy-2-oxo-2,3-dihydroindole (153 mg, 93%) as a yellow gum. Major diastereoisomer:  $\delta_H$  (300 MHz, CDCl<sub>3</sub>) 7.26– 7.17 (5H, m, ArH), 6.89 (1H, d, J=2.3, C(4)H), 6.63-6.53 (2H, m, C(6)H and C(7)H), 4.89 (1H, ABq, J<sub>AB</sub>=15.7, NCH<sub>2</sub>Ph), 4.77 (1H, d, J<sub>BA</sub>=15.7, NCH2Ph), 3.67 (3H, s, OMe), 3.59–3.51 (1H, m, CHOH), 3.47–3.38 (2H, m, CH<sub>2</sub>OH), 3.35-3.27 (2H, m, CH<sub>2</sub>OH), 2.36-2.21 (1H, m, CH<sub>2</sub>CHOH), 1.80–1.75 (1H, m,  $CH<sub>2</sub>CHOH$ ). Characteristic peaks for minor diastereoisomer:  $\delta_H$  (300 MHz, CDCl<sub>3</sub>) 6.78 (1H, d, J=2.4, C(4)H), 4.85 (1H, ABq, J<sub>AB</sub>=15.7, NCH<sub>2</sub>Ph), 4.78 (1H, ABq, J<sub>BA</sub>=15.7, NCH<sub>2</sub>Ph).

Sodium periodate (567 mg, 2.65 mmol) was added to a solution of triol 1-benzyl-3-(2,3-dihydroxypropyl)-3-(hydroxymethyl)-5 methoxy-2-oxo-2,3-dihydroindole (509 mg, 1.42 mmol) in acetone

 $(7 \text{ mL})$  and H<sub>2</sub>O  $(1.5 \text{ mL})$  and the solution stirred at rt for 4 h. The solution was then diluted with  $H<sub>2</sub>O$  (20 mL) and Et<sub>2</sub>O (20 mL), the layers separated and the aqueous phase further extracted with  $Et<sub>2</sub>O$ (25 mL $\times$ 3). The organic extracts were combined, dried (MgSO<sub>4</sub>) and concentrated in vacuo. The crude residue was dissolved in MeOH (14 mL), sodium borohydride (108 mg, 2.85 mmol) was added and the solution stirred at rt for 1.5 h. The solution was then quenched with 1 N HCl (15 mL), concentrated in vacuo to remove the MeOH, diluted with H<sub>2</sub>O (40 mL), extracted with EtOAc (40 mL $\times$ 3), dried (MgSO4) and concentrated in vacuo to give, after chromatographic purification (EtOAc/petrol 80:20), 35 (415 mg, 89% over two steps) as a colourless oil.  $v_{\text{max}}$  (thin film)/cm<sup>-1</sup> 3399, 2925, 1687, 1603, 1180, 1046, 737;  $\delta_H$  (300 MHz, CD<sub>3</sub>OD) 7.39–7.20 (5H, m, ArH), 7.02 (1H, d, J=2.4, ArH), 6.78–6.66 (2H, m, ArH), 4.97 (1H, ABq, J<sub>AB</sub>=15.8,  $CH_AH_BPh$ ), 4.89 (1H, ABq,  $J_{BA} = 15.8$ , CH<sub>A</sub>H<sub>B</sub>Ph), 3.89 (1H, ABq,  $J_{AB}$ =10.6, C(3)CH<sub>A</sub>H<sub>B</sub>OH), 3.85 (1H, ABq,  $J_{BA}$ =10.6, C(3)CH<sub>A</sub>H<sub>B</sub>OH), 3.77 (3H, s, OCH<sub>3</sub>), 3.37–3.20 (2H, m, C(3)CH<sub>2</sub>CH<sub>2</sub>OH), 2.24–2.00 (2H, m, C(3)CH<sub>2</sub>CH<sub>2</sub>OH);  $δ<sub>C</sub>$  (75 MHz, CD<sub>3</sub>OD) 180.7, 157.8, 138.1, 137.5, 133.0,129.7,128.5,128.3,113.5,112.1,110.9, 68.3, 58.9, 56.2, 55.5, 44.7, 36.6;  $m/z$  (ESI<sup>+</sup>) 350 ([M+Na]<sup>+</sup>, 45%), 328 ([M+H]<sup>+</sup>, 100%); HRMS (ESI<sup>+</sup>) 328.1547 ([M+H]<sup>+</sup> C<sub>19</sub>H<sub>22</sub>NO<sub>4</sub><sup>+</sup> requires 328.1543 (+1.1 ppm)).

4.3.20. 2-(1-Benzyl-5-methoxy-3-((methylsulfonyloxy)methyl)-2 oxo-2,3-dihydroindol-3-yl)ethyl methanesulfonate 36.



To a solution of 35 (197 mg, 0.602 mmol) and triethylamine (175 µL, 1.26 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (6 mL) at 0 °C was added dropwise mesyl chloride (98  $\mu$ L, 1.26 mmol) and stirred for 2 h. The reaction mixture was then warmed to rt and stirred overnight before quenching with satd aq NaHCO<sub>3</sub> solution (10 mL). CH<sub>2</sub>Cl<sub>2</sub> (25 mL) and brine (10 mL) were added, the layers separated and the aqueous phase further extracted with  $CH_2Cl_2$  (15 mL $\times$ 3). The organic extracts were combined, dried ( $MgSO<sub>4</sub>$ ) and concentrated in vacuo to give, after chromatographic purification (EtOAc/petrol 80:20), 36 (201 mg, 69%) as a yellow oil.  $\nu_{\text{max}}$  (thin film)/cm<sup>-1</sup> 2937, 1709, 1603, 1356, 1175, 736;  $\delta_H$  (300 MHz, CDCl<sub>3</sub>) 7.37–7.23 (5H, m, ArH), 6.92  $(1H, d, J=2.4, ArH)$ , 6.77 (1H, dd, J=8.6 and 2.5, ArH), 6.69 (1H, d, J=8.6, ArH), 5.10 (1H, ABq, J<sub>AB</sub>=15.8, CH<sub>A</sub>H<sub>B</sub>Ph), 4.73 (1H, ABq,  $J_{BA}$ =15.8, CH<sub>A</sub>H<sub>B</sub>Ph), 4.53 (1H, ABq,  $J_{AB}$ =9.7, C(3)CH<sub>A</sub>H<sub>B</sub>OMs), 4.40 (1H, ABq,  $J_{BA} = 9.7$ , C(3)CH<sub>A</sub>H<sub>B</sub>OMs), 4.16-3.99 (2H, m,  $C(3)CH<sub>2</sub>CH<sub>2</sub>OMs$ ), 3.77 (3H, s, OCH<sub>3</sub>), 2.79 (3H, s, OSO<sub>2</sub>CH<sub>3</sub>), 2.73 (3H, s,  $OSO_2CH_3$ ), 2.56–2.44 (1H, m, C(3)CH<sub>A</sub>H<sub>B</sub>CH<sub>2</sub>OMs), 2.31–2.21 (1H, m, C(3)CH<sub>A</sub>H<sub>B</sub>CH<sub>2</sub>OMs);  $\delta_C$ (75 MHz, CDCl<sub>3</sub>) 175.2, 156.4, 136.5, 135.4, 129.0, 128.2, 127.9, 127.2, 113.8, 111.4, 110.4, 72.2, 64.8, 55.9, 50.9, 44.2, 37.3 (2C), 32.1;  $m/z$  (ESI<sup>+</sup>) 501 ([M+NH<sub>4</sub>]<sup>+</sup>, 100%), 484 ([M+H]<sup>+</sup>, 45%); HRMS (ESI<sup>+</sup>) 501.1353 ([M+NH<sub>4</sub>]<sup>+</sup> C<sub>21</sub>H<sub>29</sub>N<sub>2</sub>O<sub>8</sub>S<sub>2</sub><sup>+</sup> requires  $501.1360$  ( $-1.4$  ppm)).

4.3.21. N-Benzylhorsfiline 37.



<span id="page-11-0"></span>To a solution of  $36$  (97 mg, 0.200 mmol) in EtOH (1.0 mL) was added methylamine (2.0 M solution in THF, 0.30 mL, 0.60 mmol) and the solution heated to 105 °C in a sealed tube overnight. The solution was concentrated in vacuo, the residue dissolved in  $CH<sub>2</sub>Cl<sub>2</sub>$ (10 mL), washed with 0.1 N HCl (2 mL $\times$ 2), dried (MgSO<sub>4</sub>), filtered and concentrated in vacuo. Chromatographic purification (EtOAc/ acetone  $100:0 \rightarrow 75:25$ ) gave 37 (61 mg, 0.190 mmol, 95%) as a colourless oil with spectroscopic data in accordance with the literature.<sup>7</sup>  $\delta_H$  (400 MHz, CDCl<sub>3</sub>) 7.25–7.15 (5H, m, ArH), 7.01 (1H, d, J=2.5,  $C(4)H$ ), 6.58 (1H, dd, J=8.5 and 2.5, C(6)H), 6.50 (1H, d, J=8.5, C(7)H), 4.80 (2H, s, CH<sub>2</sub>Ph), 3.69 (3H, s, OCH<sub>3</sub>), 3.02 (1H, td, J=8.2, 3.9,  $C(5')H_AH_B$ ), 2.87 (1H, AB q,  $J_{AB} = 9.3$ ,  $C(2')H_AH_B$ ), 2.80 (1H, AB q,  $J_{BA}$ =9.3, C(2')H<sub>A</sub>H<sub>B</sub>), 2.70 (1H, app q, J=8.2, C(5')H<sub>A</sub>H<sub>B</sub>), 2.40 (3H, s, NCH<sub>3</sub>), 2.32–2.38 (1H, m, C(4')H<sub>A</sub>H<sub>B</sub>), 2.02–2.09 (1H, m, C(4')H<sub>A</sub>H<sub>B</sub>);  $\delta_C$  (100 MHz, CDCl<sub>3</sub>) 180.2, 156.4, 137.2, 136.1, 135.4, 128.8, 127.6, 127.2, 112.2, 110.4, 109.1, 66.4, 56.7, 55.9, 53.8, 43.9, 41.9, 38.2.

4.3.22. Horsfiline 4.



NH<sub>3</sub> (~5 mL) was condensed at  $-78$  °C and Na (~20 mg) was added with vigorous stirring at  $-33$  °C until the deep blue colour persisted. A solution of 37 (10.0 mg, 0.031 mmol) in THF (0.50 mL) was added dropwise and the mixture stirred at  $-33$  °C for 15 min before the addition of  $NH<sub>4</sub>Cl$  (~50 mg). The ammonia was allowed to evaporate,  $H<sub>2</sub>O$  (10 mL) was added and the mixture extracted with EtOAc  $(3\times10 \text{ mL})$ . The combined organic layers were dried (MgSO4), filtered and concentrated in vacuo. Chromatographic purification (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 100:0 $\rightarrow$ 90:10) gave 4 (4.1 mg, 0.018 mmol, 57%) as an off-white solid with spectroscopic data in accordance with the literature.<sup>7</sup> mp 140–142 °C (lit. Mp 156– 157°C);  $\delta_H$  (400 MHz, CDCl<sub>3</sub>) 7.87 (1H, br s, NH), 7.04 (1H, d, J=2.4, C(4)H), 6.77 (1H, d, J=8.4, C(7)H), 6.58 (1H, dd, J=8.4 and 2.4,  $C(6)H$ ), 3.79 (3H, s, OCH<sub>3</sub>), 3.07-3.01 (1H, m, C(5') $H_A H_B$ ), 2.91-2.85 (2H, m,  $J_{AB} = 9.3$ , C(2') $H_2$ ), 2.76 (1H, app q, J=8.2, C(5') $H_A H_B$ ), 2.46 (3H, s, NCH<sub>3</sub>), 2.40 (1H, ddd, J=12.6, 7.9 and 4.6, C(4')H<sub>A</sub>H<sub>B</sub>), 2.14– 2.06 (1H, m, C(4') $H_A H_B$ );  $\delta_C$  (100 MHz, CDCl<sub>3</sub>) 182.4, 156.4, 133.3, 112.9, 110.5, 109.9, 66.0, 56.7, 56.1, 54.1, 41.8, 38.2 [C(3) not observed].

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# Supplementary data

<sup>1</sup>H and <sup>13</sup>C NMR data is available for all products. Supplementary data associated with this article can be found, in the online version, at doi:10.1016/j.tet.2010.03.047.

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